

## **DIALS for ED**

Data analysis and structure determination using DIALS and CCP4

**David Waterman** 

eBIC November 2019





# What is DIALS?



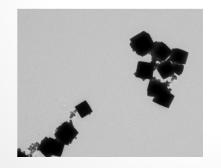


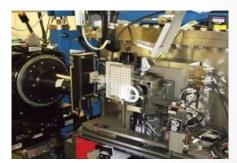
### What is DIALS?

### Diffraction Integration for Advanced Light Sources

- Project began in late 2011 at Diamond Light Source and CCP4
- Additional funding by BioStruct-X and now Wellcome Trust
- Aim to develop new data processing software to meet modern challenges
- Now an international collaborative development















### Legacy

#### Built upon the strengths of older projects

#### research papers

Acta Crystallographica Section D Biological

Crystallography ISSN 0907-4449

#### Wolfgang Kabsch

Max-Planck-Institut für Medizinische Forschung Abteilung Biophysik, Jahnstrasse 29. 69120 Heidelberg, Germany

Correspondence e-mail wolfgang.kabsch@mpimf-heidelberg.mpg.de

#### XDS

The usage and contr package XDS for

described in the co

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Moreover, the limit number of correction

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XDS accepts a

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#### research papers

Biological Crystallography

ISSN 0907-4449

#### J. W. Pflugrath

Molecular Structure Corporation, 9009 New Trails Drive, The Woodlands, TX 77381, USA

Correspondence e-mail: |wp@msc.com

#### The finer things in X-ray diffraction data collection

#### X-ray diffraction images from sensitive detectors can be cha depending on whether the rotati

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X-ray background and  $I/\sigma(I)$ 

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two-dimensional array with eac

to a distinct position on the

1. Introduction

Acta Crystallographica Section D Biological Crystallography

research papers

ISSN 0907-4449

#### Andrew G. W. Leslie

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#### The integration of macromolecular diffraction data

#### with reference to the 1. Introduction

The objective of any

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#### Laboratoire pour l'Utilisation du Rayonnement Electromagnétique

Centre National de la Recherche Scientifique

Université Paris-Sud

#### Proceedings

of the EEC Cooperative Workshop

on Position-Sensitive Detector Software

(Phases I & II)

held at L.U.R.E. from May 26 to June 7, 1986.



### **Present and future**

Novel features such as new indexing methods, multiple experiment joint refinement, smoothly varying models, improved data for weak diffraction...

research papers

STRUCTURAL

Edited by E. F. Garman, University of Oxford

Keywords: global refinement: DIAIS framework

BIOLOGY

Received 7 October 2015

Accepted 4 February 2016



Richard J. Gildea, David G. Waterman, b,c James M. Parkhurst,<sup>a</sup> Danny Axford,<sup>a</sup> Geoff Sutton, d David I. Stuart, a,d Nicholas K. Sauter <sup>e</sup> Gwyndaf Evans<sup>a</sup> and Graeme Winter<sup>a\*</sup>

Crystallography ISSN 1399-0047

\*Diamond Light Source Ltd. Harwell Science and Innovation Campus, Didcot OX11 0DE, England, <sup>b</sup>STFC Rutherford Appleton Laboratory, Didcot OX11 0QX, England, CCP4, Research Complex at Harwell, Rutherford Appleton Laboratory, Didcot OX11 0FA, England, <sup>d</sup>Division of Structural Biology, The Wellcome Trust Centre for Human Genetics, University of Oxford, Oxford OX3 7BN, England, and Physical Biosciences Division, Lawrence erkeley National Laboratory, Berkeley, CA 94720, USA

Correspondence e-mail: graeme, winter@diamond.ac.uk New methods for indexing multi-lattice diffraction

A new indexing method is presented which is capable of indexing multiple crystal lattices from narrow wedges of diffraction data. The method takes advantage of a simplification of Fourier transform-based methods that is applicable when the unit-cell dimensions are known a priori. The efficacy of this method is demonstrated with both semi-synthetic multilattice data and real multi-lattice data recorded from crystals of ~1 um in size, where it is shown that up to six lattices can be successfully indexed and subsequently integrated from a 1° wedge of data. Analysis is presented which shows that improvements in data-quality indicators can be obtained through accurate identification and rejection of overlapping reflections prior to scaling.

#### 1. Introduction

A fundamental limitation of conventional macromolecular crystallography is the necessity of obtaining one or more crystals of sufficient size and quality to record a reasonably complete data set. The development of microfocus beamlines has allowed data to be collected from smaller crystals than ever before [see the recent reviews of the history and capabilities of microfocus beamlines by Evans et al. (2011) and Smith et al. (2012)]. Frequently, particularly in the cases of viruses and membrane proteins, only small, poor-quality crystals may be available and it may only be possible to collect a highly incomplete data set over a small oscillation range for each individual crystal before the diffraction quality is affected by radiation damage.

Diffraction-geometry refinement in the DIALS framework

( CrossMark

IOURNAL OF

Edited by A. R. Pearson, Universität Hamburg,

Keywords: integration; robust outlier rejection;

generalized linear models: background model-

Received 1 June 2016

Accepted 24 August 2016

CRYSTALLOGRAPHY

David G. Waterman, a,b\* Graeme Winter, CRichard J. C Parkhurst, c,d Aaron S. Brewster, Nicholas K. Sauter

<sup>a</sup>STFC Rutherford Appleton Laboratory, Didcot OX11 0QX, England, <sup>b</sup>CCP4, Appleton Laboratory, Didcot OX11 0FA, England, \*Diamond Light Source Ltd Didcot OX11 ODE, England, MRC Laboratory of Molecular Biology, Franci England, and \*Lawrence Berkeley National Laboratory, Berkeley, CA 94720 david.waterman@stfc.ac.uk, gwyndaf.evans@diamond.ac.uk

Rapid data collection and modern computing resources proto revisit the task of optimizing the model of diffraction integration. A comprehensive description is given of nev upon established methods by performing a single global r utilizing a smoothly varying model of the crystal lattice w global refinement technique extends to multiple data constraints to handle the problem of correlated parame small wedges of data. Examples of advanced uses of the s the design is explained in detail, with particular emphasis extensibility it entails.

#### 1. Introduction

The successful integration of single-crystal diffraction depends on the accurate prediction of Bragg spot location area-detector images. An initial model for the diffract geometry may be constructed from metadata provided the diffraction images (Parkhurst et al., 2014) or provided the user. This starting model is completed by estimating cry parameters, which are usually derived from data by an a indexing procedure, such as that of Steller et al. (1997). model is rarely sufficient for accurate prediction through

Robust background modelling in DIALS

James M. Parkhurst, a,b Graeme Winter, David G. Waterman, c,d Luis Fuentes-Montero, Richard J. Gildea, Garib N. Murshudov and Gwyndaf Evans at Murshudov at Murshu

research papers

<sup>a</sup>Diamond Light Source Ltd., Harwell Science and Innovation Campus, Didcot OX11 0DE, UK, <sup>b</sup>Laboratory of Molecular Biology, Francis Crick Avenue, Cambridge CB2 0QH, UK, STFC Rutherford Appleton Laboratory, Didcot OX11 0FA, UK, and CCP4, Research Complex at Harwell, Rutherford Appleton Laboratory, Didcot OX11 0FA, UK. \*Correspondence e-mail: garib@mrc-lmb.cam.ac.uk, gwyndaf.evans@diamond.ac.uk

A method for estimating the background under each reflection during integration that is robust in the presence of pixel outliers is presented. The method uses a generalized linear model approach that is more appropriate for use with Poisson distributed data than traditional approaches to pixel outlier handling in integration programs. The algorithm is most applicable to data with a very low background level where assumptions of a normal distribution are no longer valid as an approximation to the Poisson distribution. It is shown that traditional methods can result in the systematic underestimation of background values. This then results in the reflection intensities being overestimated and gives rise to a change in the overall distribution of reflection intensities in a dataset such that too few weak reflections appear to be recorded. Statistical tests performed during data reduction may mistakenly attribute this to merohedral twinning in the crystal. Application of the robust generalized linear model algorithm is shown to correct for this bias.

#### 1. Introduction

In macromolecular crystallography (MX), integration programs - such as MOSFLM (Leslie, 1999), XDS (Kabsch, 2010), d\*TREK (Pflugrath, 1999) and DIALS (Waterman et al., 2013) - are used to estimate the intensities of individual Bragg reflections from a set of X-ray diffraction images. Whilst details of the processing differ, these programs all follow the same basic procedure to calculate the intensity estimates. For each reflection, pixels in the neighbourhood of the predicted

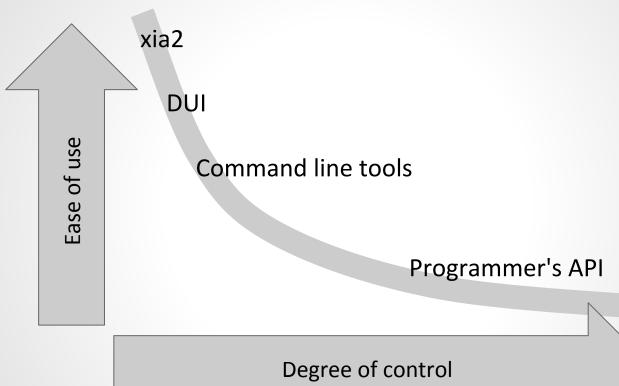






# **Philosophy**

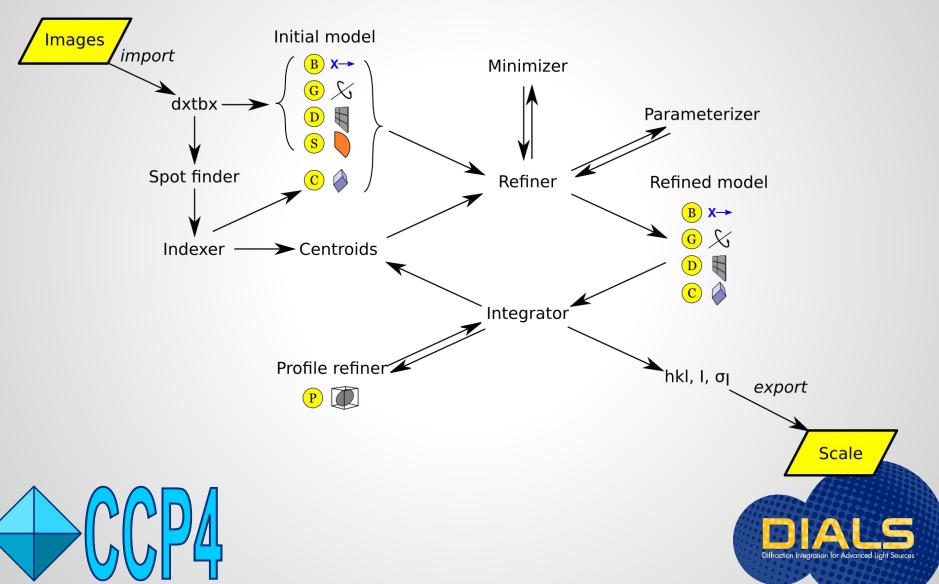
#### **Levels of interaction**







## Flowchart for data processing



### **DIALS** files

From v2.0.0 onwards:

- ".expt" file (e.g. imported.expt)
- metadata for images file location, experimental model details (beam orientation and wavelength, detector position and orientation etc.)
- ".refl" file (e.g. strong.refl)
- spot/reflection information coordinates (centroids), intensity values, etc.

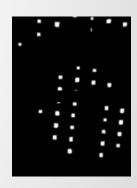
















### **DIALS CLI**

```
Main programs:

dials.import
dials.find_spots
dials.index
dials.search_beam_position
dials.refine_bravais_settings
dials.reindex
dials.refine
dials.integrate
dials.symmetry
dials.scale
```

Saved 71268 reflections to strong.pickle Time Taken: 88.113627

More than 70 dials.\* commands in version 2.0



dials.export

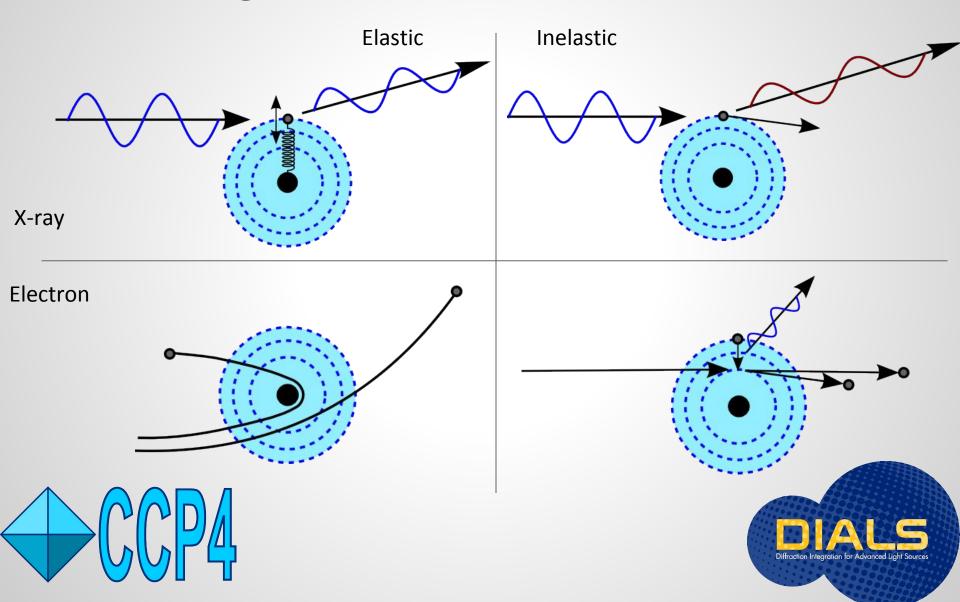


## **Electron diffraction basics**





# **Scattering**



## **Scattering**

X-ray scattering probes electron density

Electron scattering probes electrostatic potential

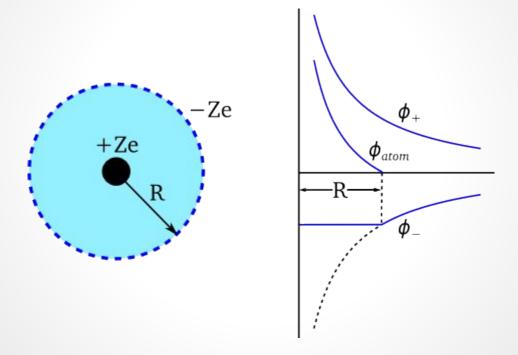




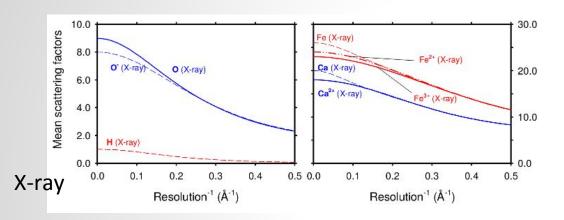
Figure adapted from Vainshtein 1964

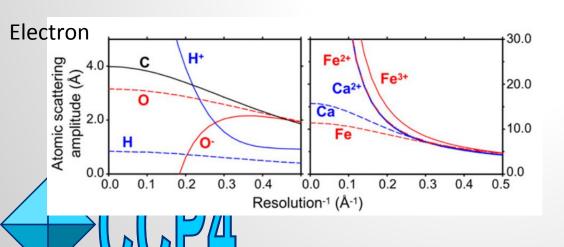


## **Charged state**

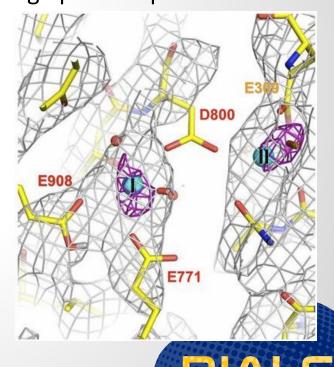
Coulomb potential maps can reveal information about charges

(Yonekura et al. PNAS 2015)



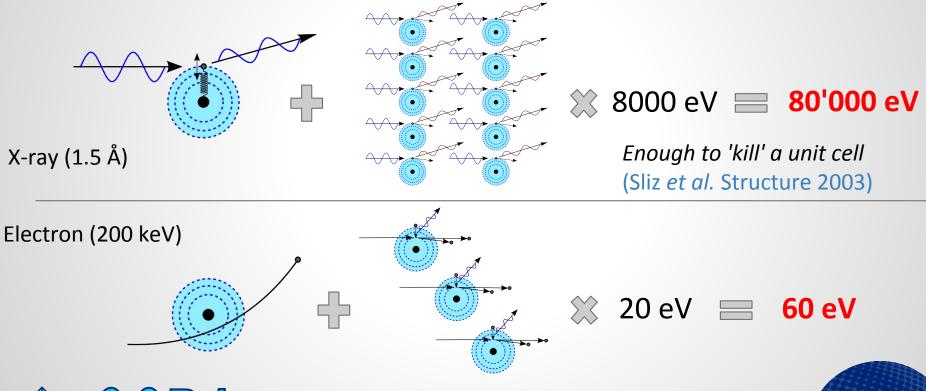


Ca<sup>2+</sup>-ATPase. When neutral Ca is assumed, difference map shows large positive peaks



## **Radiation damage**

Damage accumulates alongside diffraction by the ratio of useful (elastic) to damaging (inelastic) events (Henderson, Quart. Rev. Biophys. 1995)





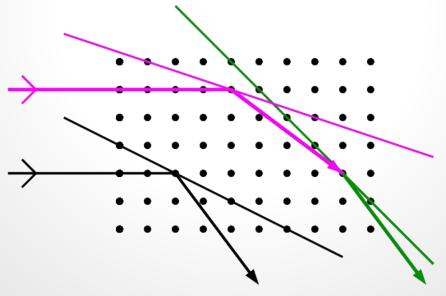
**Electrons better by >1000 times** 



## **Crystal size**

The radiation damage argument implies that a crystal for electron diffraction can be 1000 times smaller in volume than a crystal for X-ray diffraction Small crystals or crystal fragments may be better ordered (de la Cruz 2017) However, it is more complicated...

The strength of interaction implies multiple scattering unless the crystal thickness is much below the mean free path









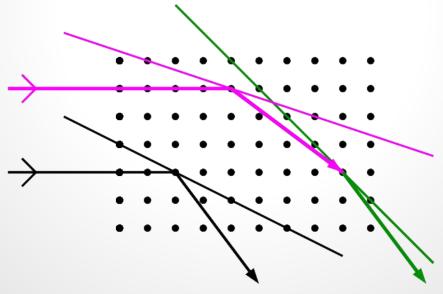
## **Crystal size**

Thin samples are *obligatory* 

But not as thin as theory suggests (100 nm @ 200 keV, Subramanian 2015)

For proteins, with continuous rotation, thickness < 400 nm seems to keep dynamic effects acceptably low (Hattne 2015)

Nevertheless, vanishingly thin plates may still be best (Yonekura 2015)

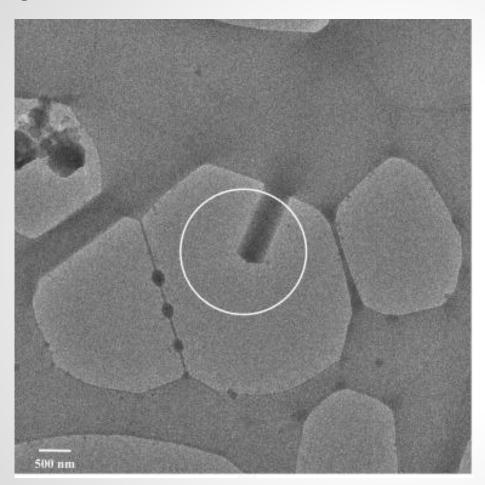








# **Crystal size**



### Lysozyme nanocrystal

Diffracting volume: 0.14 mm<sup>3</sup> (< 6×10<sup>5</sup> unit cells)

Clabbers et al. 2017





## FIB milling as sample preparation

#### **Image credits:**

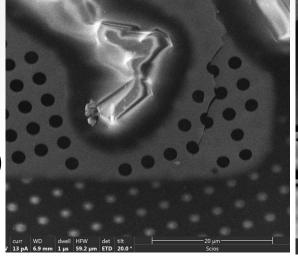
Emma Beale (DLS)

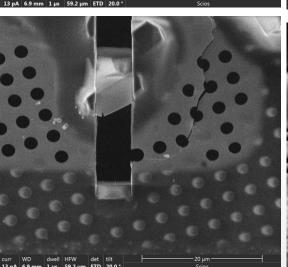
Corey Hecksel (eBIC)

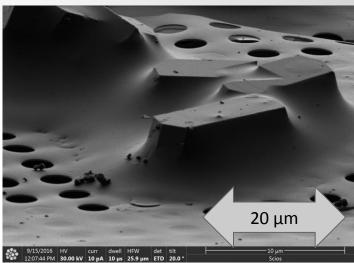
Abhay Kotecha (STRUBI)

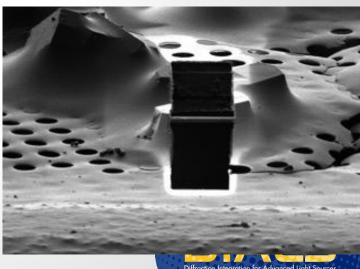
Jose Trincao (DLS)

See also Duyvesteyn *et al.* PNAS 2018











# Image formats and metadata

Boring but important





## **EM** image formats

As with MX, various image formats exist, some manufacturer-specific Independent from MX, except for generic formats (such as TIFF)

Three approaches to get the data into DIALS:

- 1. External: convert to standard MX format (SMV, CBF etc.)
- 2. *Internal*: write new format reading code to go into dxtbx
- 3. Extension: write new format reading code as a plug-in dxtbx.install\_format --user [/path/to/format/class.py] [URL]

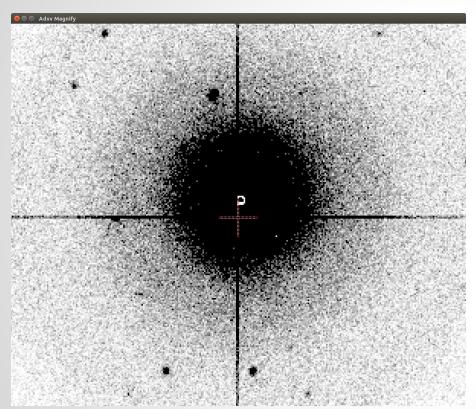
In any case, missing experiment geometry metadata may be a problem

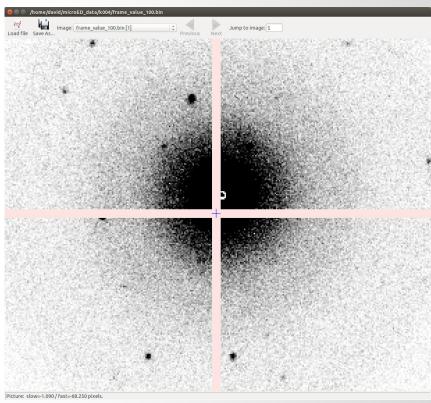




# **Extending dxtbx**

Plug in a new Format class to recognise new image file formats









### **EM** image formats

Explicit support for a handful of formats (in distribution or as plug-in), e.g.:

- Timepix quad raw, miniCBF, SMV
- Timepix quad<sup>2</sup> miniCBF
- ThermoFisher Falcon II raw image
- ThermoFisher Falcon III converted to SMV
- ThermoFisher Ceta 16M image stack (.ser format, no metadata)
- ThermoFisher extended header MRC
- Gatan DM4 stack (no metadata)
- Gatan OneView converted to miniCBF
- TVIPS SMV (from tvips2smv)
- DirectElectron DE-64 converted to TIFF

https://github.com/dials/dxtbx ED formats

Could add others, but we don't want to be image format zookeepers





## **Experimental geometry uncertainty**

Without careful calibration there may be uncertainty about various things:

- Beam centre
- Detector (effective) distance
- Detector gain
- Multi-panel metrology
- Rotation axis handedness
- Rotation axis orientation

Relatively poor sample stages for rotation adds additional error:

•  $\Delta \phi$  / image

In addition, lenses mean questions about:

- Obliquity (parallax)
- Diffraction pattern distortion





# **Diffraction geometry**





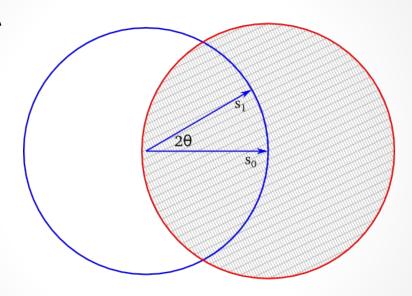
### **Ewald construction**

### X-ray diffraction

Photon energy 12 keV ( $\lambda = 1.03 \text{ Å}$ )

Scattering vector at 2 Å

 $2\theta = 29.9^{\circ}$ 







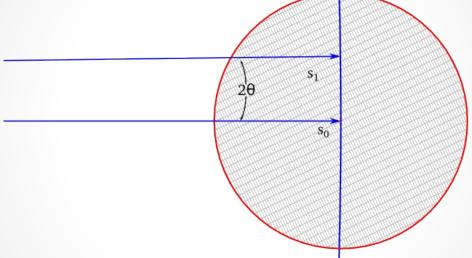
### **Ewald construction**

#### **Electron diffraction**

Electron energy 200 keV ( $\lambda = 0.0251 \text{ Å}$ )

Scattering vector at 2 Å

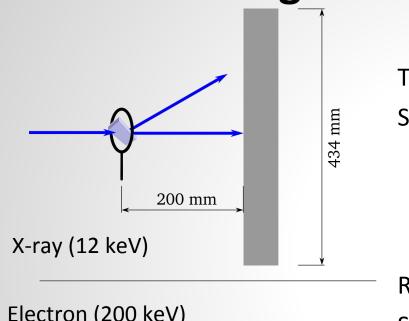
$$2\theta = 0.72^{\circ}$$







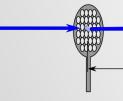
## Diffraction geometry



Typical MX geometry, Pilatus 6M detector Scattering vector at 29.9°

Electron (200 keV)

Real ED example, Timepix quad Scattering vector at 0.72°



1100 mm





mm

## **Diffraction geometry**

Real detector distance actually fixed

Beam paths are complex, through lens system

We ignore this and use the *effective* detector distance

Might also ignore parallax? Depends on detector technology

But not image distortion, if present

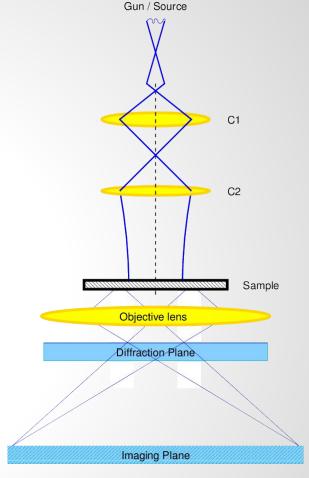


Figure credit: Tim Grüne









Almost flat Ewald sphere, high detector distance and low diffraction angle

- Lens distortions may introduce systematic error in observed positions
- Indexing from a single image is challenging
- It may even be difficult to determine the direction of rotation
- Joint refinement of detector and unit cell may not be possible
- Refined detector and unit cell parameters may be poor
- The beam centre may drift





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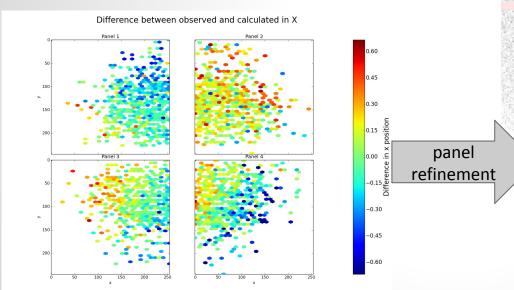


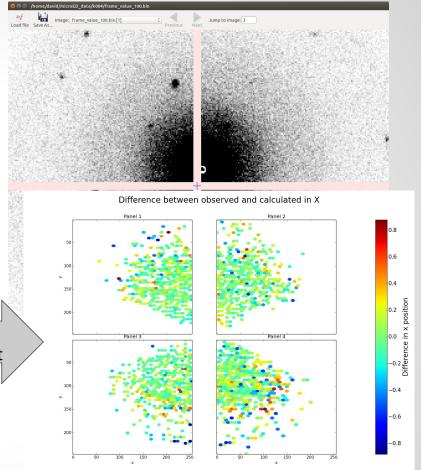


### **Lens distortions**

Pentasil zeolite, 64° tilt, 200 keV Timepix direct electron detector as a 4 panel quad

Some image distortion evident









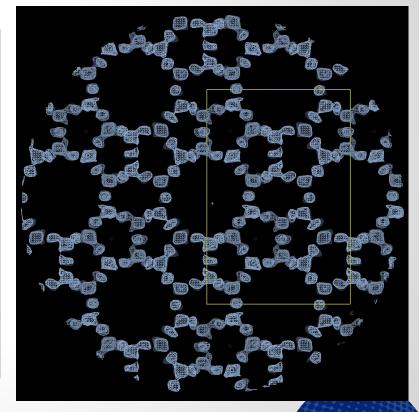
That gross 'fix' was sufficient to integrate this dataset with DIALS

Scaled with AIMLESS, structure solved *ab initio* by SHELXT

Refinement with SHELXL using electron scattering factors

Poor statistics typical for e- diffraction data, but maps are reasonable

Space group	Pnma
Cell dim (Å)	20.09, 19.96, 13.51
Resolution (Å)	14.16 - 0.70 [14.16 - 3.83] (0.71 - 0.70)
Rmerge (%)	21.9 [11.6] (53.4)
Rmeas (%)	26.0 [15.5] (64.0)
Ι/σ	1.90 [4.3] (0.8)
CC½	0.98 [0.97] (0.92)
Completeness (%)	70.7 [60.1] (70.4)
R1 (%)	20.7
wR2 (%)	48.7







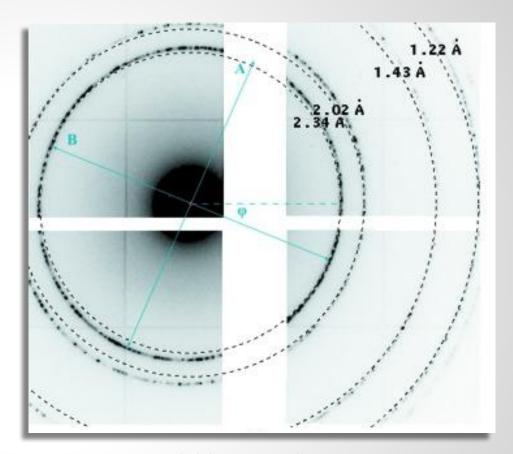
## **Elliptical distortion**

A more general solution is to use pixel-wise distortion tables

Determine parameters from e.g. Al powder diffraction standard

Potentially refine parameters from diffraction data?

**Best approach:** avoid distortion in the first place



Clabbers et al. 2017





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single image 56° wedge

# **Challenges**

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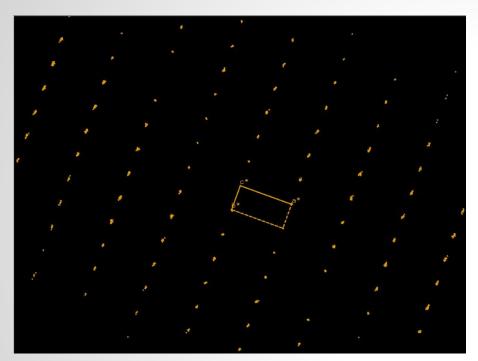


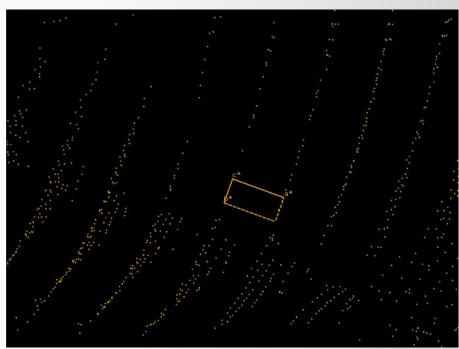


### MX geometry

right rotation axis

#### inverted rotation axis





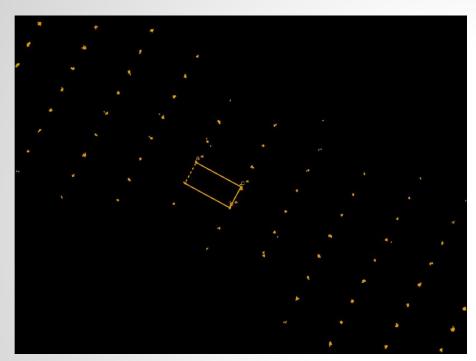




### ED geometry

right rotation axis

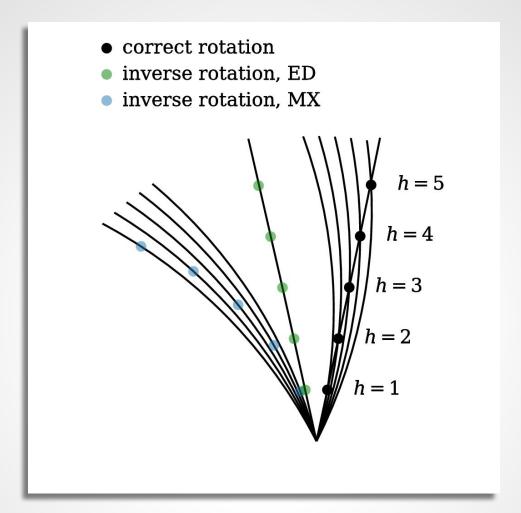
#### inverted rotation axis











Clabbers et al. 2018





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Almost flat Ewald sphere, high detector distance and low diffraction angle

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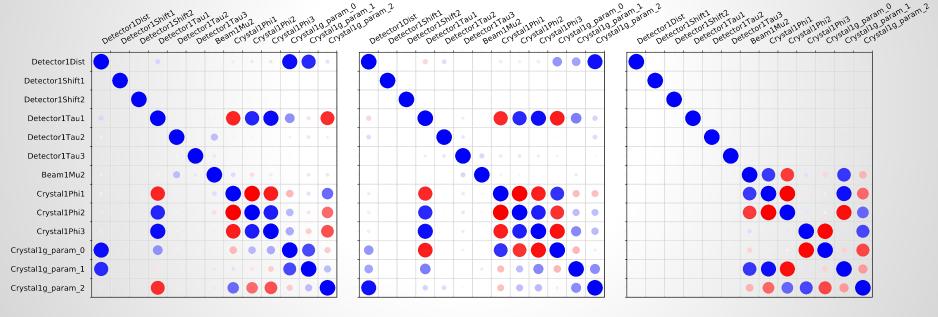


# Diagnostics for problematic refinement

DIALS provides diagnostics using the Jacobian from non-linear least squares refinement of the diffraction geometry

1. corrgrams

Simulated data, MX geometry (12 keV, 200 mm distance)



X residuals

Y residuals

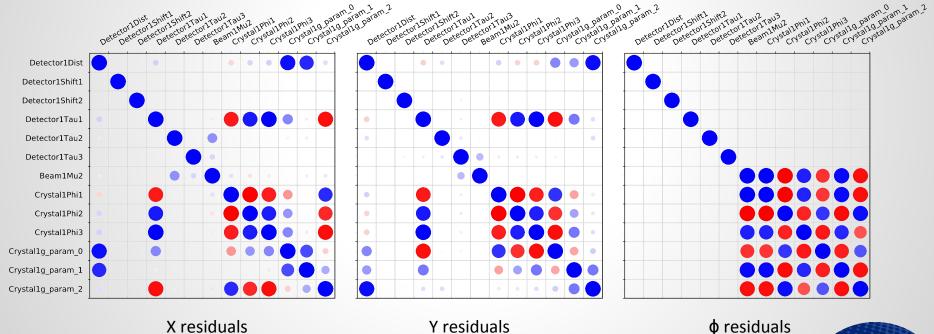




# Diagnostics for problematic refinement

DIALS provides diagnostics using the Jacobian from non-linear least squares refinement of the diffraction geometry

1. corrgrams Simulated data, ED geometry (200 keV, 1570 mm distance)





φ resid

# Diagnostics for problematic refinement

DIALS provides diagnostics using the Jacobian from non-linear least squares refinement of the diffraction geometry

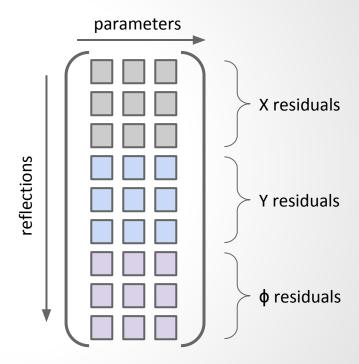
#### 2. condition number

Linearised step:  $\mathbf{J} \Delta \mathbf{p} = \Delta \mathbf{r}$ 

For the simulated examples shown:

$$cond(\mathbf{J}_{MX}) \approx 2 \times 10^3$$

$$cond(\mathbf{J}_{FD}) \approx 5 \times 10^5$$







# Stabilising refinement

General rule: stabilise refinement by constraints (fix) or restraints

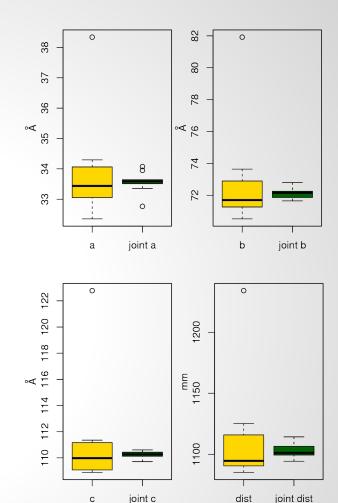
Diagnostics help to identify problematic parameters

Flat Ewald sphere → extremely high correlation between cell and detector distance

**Best case scenario:** effective distance is well-calibrated, so fix it

Other unstable parameters: detector 'tilt' and 'twist' rotations, and beam orientation

Restrain unit cell to a target, or group average in joint refinement







# **Challenges**

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- Refined detector and unit cell parameters may be poor
- The beam centre may drift





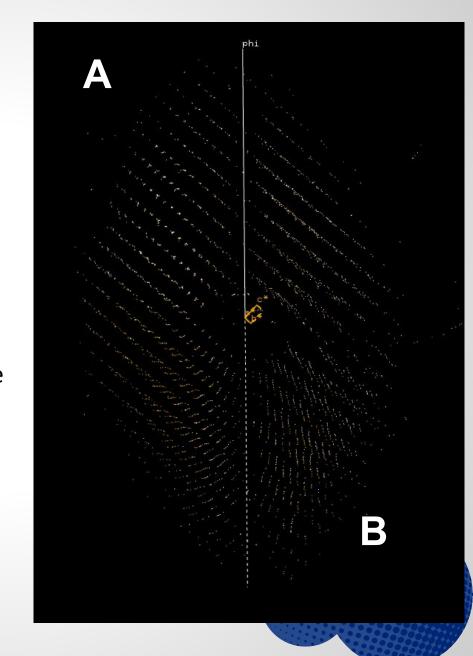
### **Beam drift**

Example: FIB-milled lysozyme still tilt series from eBIC

Beam direction drift during the data collection causes severe distortion

Nevertheless, spots can be indexed by dev.dials.creep\_index

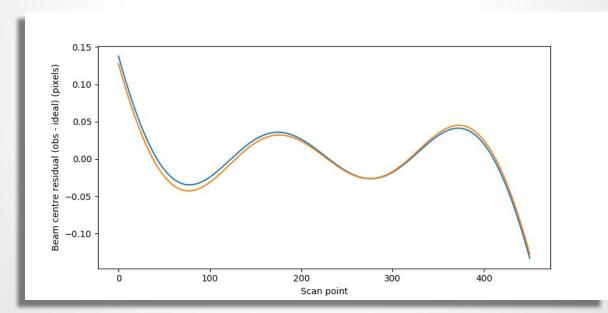
The reciprocal lattice view is aligned along planes in the region marked **A**, however in other regions, such as **B**, the observations do not map to parallel planes.





### **Beam drift**

Less severe beam drift seems common even with continuous rotation In order to process Max Clabbers' lysozyme nanocrystal data we added Gaussian-smoother scan-varying beam refinement to DIALS Tested using simulated images created with simTBX

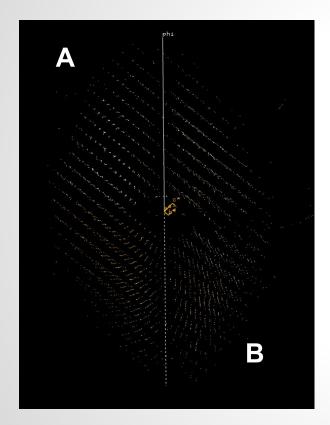


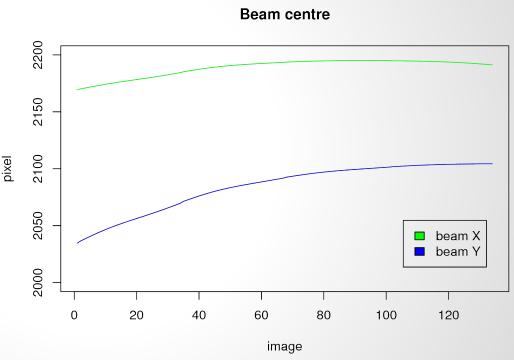




### **Beam drift**

Can track the beam drift of the lamella tilt series: about 70 pixels in Y!









### Integration

Most programs perform empirical profile modelling using local strong spots.

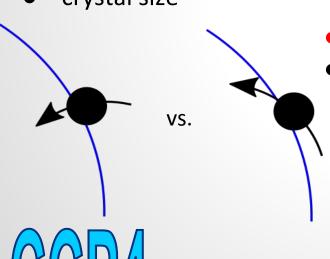
**DIALS** makes 3D profile models, like **XDS**.

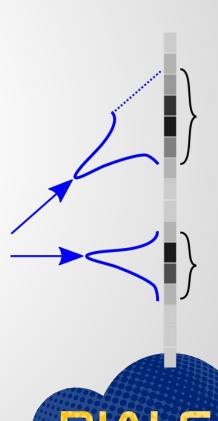
The 3D method takes advantage of the Kabsch transform.

- geometry
- crystal mosaicity
- crystal shape
- crystal size



- beam divergence
- beam spectral dispersion
- obliquity
  - detector point spread





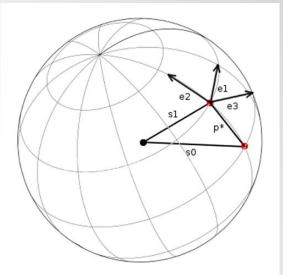


# Integration

#### Kabsch's transform:

Spot shape is distorted by:

- 1. obliquity of rays on the detector
- obliquity of the relp's passage through the Ewald sphere
- 1. is handled by mapping pixel values onto the Ewald sphere.
- 2. is handled by choosing a non-orthogonal third dimension along the relp's actual direction of travel.



Profile coordinate system

Use the Kabsch model of a normal distribution on the surface of the Ewald sphere 2 parameters:

 $\sigma_{_D}$  - roughly "beam divergence"  $\sigma_{_M}$  - roughly "mosaicity"

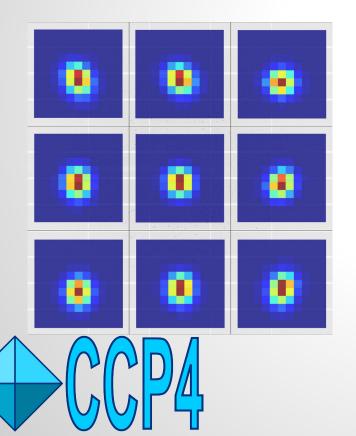




### Integration

3D profiles are formed for different regions of the detector and different blocks of the  $\phi$ -scan.

**DIALS** uses a rectangular grid on the detector, and overlapping blocks in  $\phi$ , with size chosen so that each reflection is integrated fully in one block



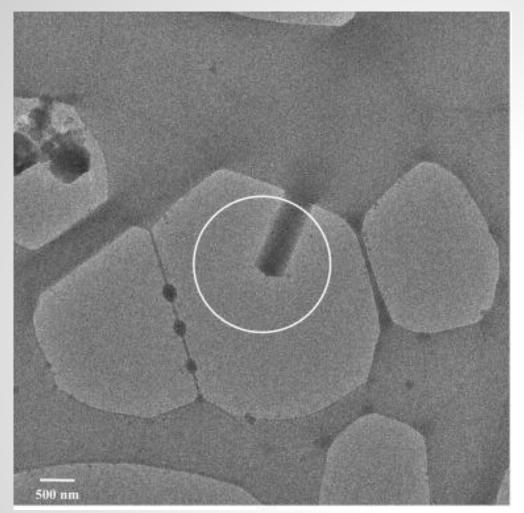




# **Example processing**



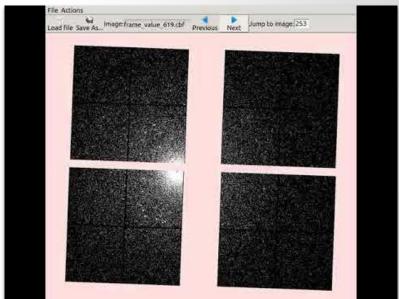


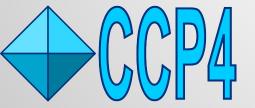


### Lysozyme nanocrystal

Diffracting volume:  $0.14 \mu m^3$  (<  $6 \times 10^5$  unit cells)

Clabbers *et al.* Acta Cryst D 2017; 2018







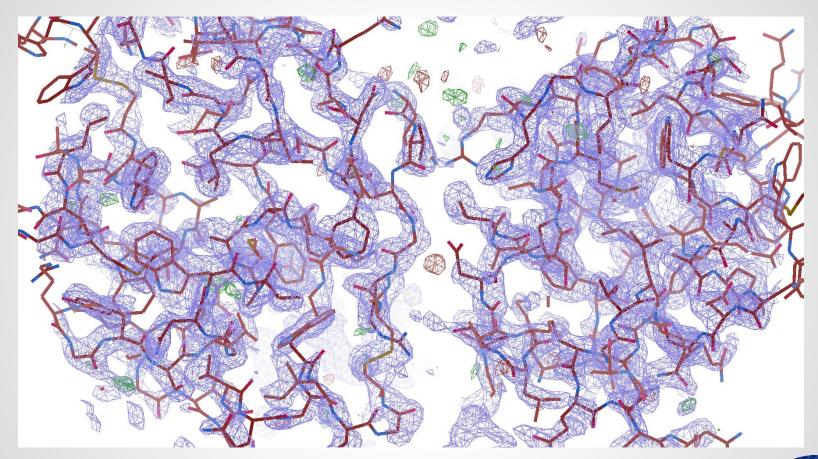
### **ED structure solution with CCP4**

- 7 datasets integrated with **DIALS**, including elliptical distortion and beam drift corrections as described <a href="https://dials.github.io/documentation/tutorials/dials\_for\_ed.html">https://dials.github.io/documentation/tutorials/dials\_for\_ed.html</a>
- Combined and scaled with pointless and aimless (~60% complete to 2.1 Å)
- Phased by MR with phaser using FORMFACTORS ELECTRON
- Model building by buccaneer
- Unit cell refinement performed by Refmac5
- Final model refinement by Refmac5 and PDB\_REDO, using SOURCE ELECTRON MB; MAPC FREE EXCLUDE
- Robust validation for incomplete data using Rcomplete rather than Rfree
- *Rwork*=25.2%; *Rcomplete*=29.2%
- Demonstrated equivalent data quality to that obtained by XDS (Clabbers et al., Acta Cryst D 2018)





### **ED structure solution with CCP4**

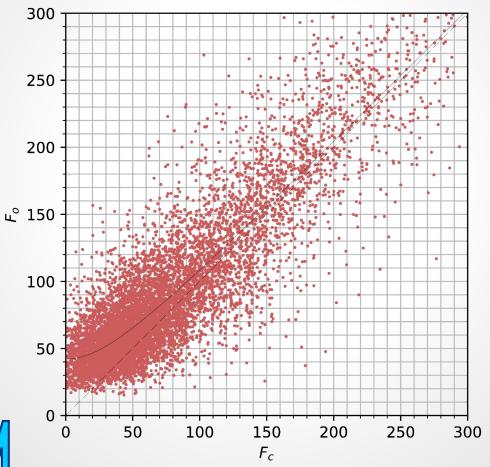






# Breakdown of the kinematic assumption

dials.plot\_Fo\_vs\_Fc hklin=refined.mtz









Home

About

Installation

Documentation

Tutorials

How-to

Workshops

**Publications** 

Links License Introduction

DIALS has been successfully adapted for processing electron diffraction data from protein nanocrystals. Extensions to the software and protocols for dealing with the peculiarities of electron diffraction data are described in a publication:

Electron diffraction data processing with DIALS

Clabbers MTB, Gruene T, Parkhurst JM, Abrahams JP, Waterman DG.

Acta Crystallogr D Struct Biol **74**, 506-518 (01 Jun 2018). [PMID:29872002] [PMC reprint: PMC6096487]

This tutorial reproduces the data processing results described in that paper, which were produced by DIALS version 1.dev.2084-g06727c3 and CCP4 version 7.0.051. Results may differ with other versions of the software. The commands listed here assume the use of a Bash shell on a POSIX-compliant system, so would have to be adjusted appropriately for use on other systems such as Windows.





# **Conclusions**





### **Conclusions**

Adapting DIALS for ED required some new features: e.g. image format readers, elliptical distortion correction and smoothly varying beam

If initial experiment metadata is good enough, indexing is usually okay

Modelling accurate diffraction geometry may be challenging

Refinement diagnostics plus trial and error to find the right protocol

No special requirements for integration (found so far)

Phasing (MR) & refinement works, though room for optimisation

More appropriate models for electron scattering will help

Current hardware is sufficient but not ideal

Experience with (a limited number) of examples → immediate and largest gains likely to be in hardware and experiment rather than software



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#### **Simulation**

James Holton

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Johan Hattne

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Hongyi Xu (MMK)

Hugo Lebrette (DDB)

Martin Högbom (DDB)

Xiaodong Zou (MMK)

#### Lysozyme lamella

Emma Beale (DLS)

Helen Ginn (STRUBI)

Felix de Haas (FEI)

Corey Hecksel (eBIC)

Abhay Kotecha (STRUBI)

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Jose Trincao (DLS)

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