

Publications and Open Access Policy

1. Policy Statement

Diamond promotes the publication of research and unrestricted access to the published output of research funded by Diamond, carried out by Diamond or carried out at, or in collaboration with Diamond, to the extent that it is not Proprietary Research (“Open Research”).

2. Who does this policy apply to and how does it apply?

This policy applies to persons producing Open Research, including Diamond Users, Diamond Employees, and all those persons visiting Diamond or contracting with Diamond (including consultants, contractors, casual and agency staff). Although this policy applies to all Diamond Employees, it does not form part of any Diamond Employee’s contract of employment.

Output arising from Proprietary Research is not covered by this policy and will be subject to separate contractual or other arrangements.

3. Who is responsible for this policy?

Diamond’s Directors have overall responsibility for this policy. Any queries or suggestions relating to this policy should be sent to policies@diamond.ac.uk.

4. What is Open Access?

Open Access is free, online access to peer-reviewed research papers, journal articles or conference papers that have been submitted and accepted for publication. Open Access can be achieved either by immediate free access to the peer-reviewed research paper, journal article or conference paper, usually through the payment of an Article Processing Charge (“Gold Open Access”), or after a suitable embargo period (“Green Open Access”).

5. Which Open Access option is preferred?

Diamond Users are required to publish all publishable Peer Reviewed Research on an Open Access basis and in a timely and responsible manner that does not harm intellectual property. The choice of route to Open Access remains with the Diamond User and their Establishment, but always in compliance with [Diamond’s Terms and Conditions for Peer Reviewed Facility User Access](#).

Where a Diamond Employee is the lead author or the corresponding author and where funding is available, Open Research should be published on a Gold Open Access basis and unless the circumstances dictate otherwise, the costs thereof will be paid by Diamond.

Where a Diamond Employee makes a significant contribution towards Open Research, but is not the lead author or the corresponding author, Diamond may be prepared to contribute towards the costs of Gold Open Access, but any such request shall require Diamond Director approval and will be evaluated on a case-by-case basis.

Diamond recognises that in certain circumstances, it may be preferable or necessary to publish Open Research on a Green Open Access basis rather than a Gold Open Access basis. Any alternative basis for publishing Open Research shall require Diamond Director approval.

6. Which licence should research be published under?

Open Research, specifically including Peer Reviewed Research, should be published using the Creative Commons Attribution Licence (CC-BY).

7. Third-party Obligations

All persons producing Open Research are responsible for meeting any additional third-party obligations (such as those contained in grant awards) that may be applicable.

8. Additional Obligations

All persons producing Open Research shall acknowledge Diamond in accordance with Diamond's prevailing terms of use, shall log their publication in [Diamond's Publications Database](#) and should, where appropriate, send a copy of the publication to [Diamond Publications](#).

Diamond Employees should ensure that Diamond retains ownership of copyright in the works that they produce.

9. Breach of this Policy

Breach of this policy may:

- (a) In the case of Diamond Employees, result in disciplinary action.
- (b) In the case of individuals who are not Diamond employees, result in termination of any contract that they may have in place with Diamond and/or termination of their access to Diamond.

10. Amendments to this Policy

This policy will be kept under review and may be revised by Diamond from time-to-time as considered appropriate. It will be the most recently published version of this policy that will apply if any issue arises which needs to be addressed under it.

Definitions

For the purposes of this policy, the following definitions shall apply:

Article Processing Charge: *The fee that Open Access providers charge in order to publish articles.*

Diamond: *Diamond Light Source Ltd, a company incorporated and registered in England and Wales, with company number 4375679 and with registered office at Diamond House, Harwell Science & Innovation Campus, Didcot, Oxfordshire, OX11 0DE, United Kingdom.*

Diamond Employee: *Any person working for Diamond under a contract of employment.*

Diamond Users: *Diamond Users shall include the following persons making use of Diamond Facilities: scientists and engineers from academia, research councils and charitable institutions, researchers from commercial and non-commercial organisations and Diamond Employees.*

Diamond Facilities: *All research facilities made available by Diamond.*

Experimental Proposal: *The Peer Reviewed Research proposal submitted by the Diamond User to Diamond's User Office.*

Gold Open Access: *Immediate, free and unrestricted online access to peer-reviewed research papers, journal articles or conference papers that have been submitted and accepted for publication.*

Green Open Access: *Free and unrestricted online access to peer-reviewed research papers, journal articles or conference papers that have been submitted and accepted for publication after a suitable embargo period.*

Open Access: *Open Access is free, unrestricted, online access to peer-reviewed research papers, journal articles or conference papers that have been submitted and accepted for publication.*

Open Research: *Output of research funded by Diamond, carried out by Diamond or carried out at, or in collaboration with Diamond, to the extent that it is not Proprietary Research.*

Peer Reviewed Research: *Research using Diamond Facilities that has been evaluated by others working in the same field, is conducted under an Experimental Proposal and for which there is an obligation to publish publishable Results.*

Proprietary Research: *Research using Diamond Facilities and for which there is no obligation to publish the Results as a result of an agreement which Diamond has entered into with a third party or where the research is not Peer Reviewed Research.*

Results: *Any inventions, designs, information, know-how, specifications, formulae, Experimental Data, processes, methods, techniques and other technology arising out of research.*